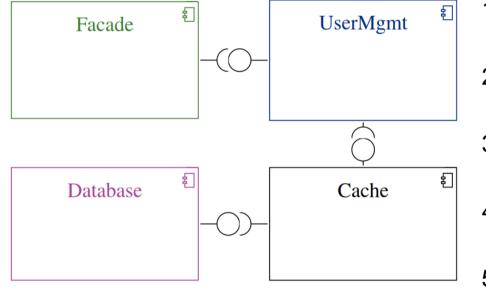


## Detecting Inconsistencies in Software Architecture Documentation Using Traceability Link Recovery Jan Keim, Sophie Corallo, Dominik Fuchß, Anne Koziolek SE24 – Linz – 29.02.2024



### **Software Architecture Documentation (SAD)**

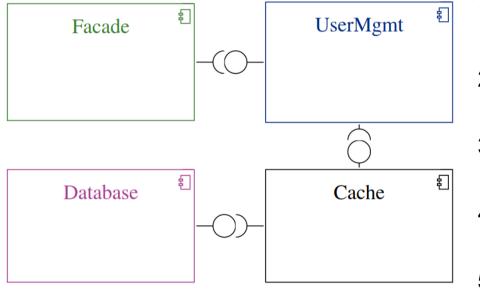




- 1) The system adheres to layered architecture.
- 2) The Facade is the entry point to the service.
- 3) It passes calls to the user management.
- 4) The user management then accesses the DB.
- 5) The Common component contains utility functionality.

# Connecting SADs with Traceability Link Recovery

using the TLR approach SWATTR [Keim2021]

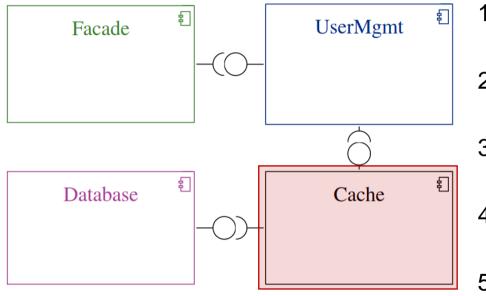


- 1) The system adheres to layered architecture.
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### **Unmentioned Model Elements**



The model has an element that is <u>not documented</u> in the text

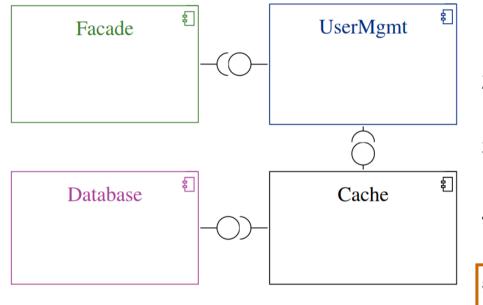


- 1) The system adheres to layered architecture.
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- 3) It passes calls to the user management.
- 4) The user management then accesses the DB.
- 5) The Common component contains utility functionality.

## **Missing Model Elements**



The text has an element that is not modelled



- 1) The system adheres to layered architecture.
- 2) The Facade is the entry point to the service.
- 3) It passes calls to the user management.
- 4) The user management then accesses the DB.
- 5) The Common component contains utility functionality.

### **Research Questions & Contributions**



 To what extent do changes to the previous approach SWATTR improve the performance for Traceability Link Recovery?
How does the approach perform for detecting unmentioned model elements?
How well does the approach detect missing model elements?

#### Contributions

- 1. Extending TLR and add capabilities to identify inconsistencies
- 2. Novel approach (ArDoCo) to identify inconsistencies
- 3. Replication package 🧆 🕹

### **Related Work**



Inconsistency Detection between API/Code documentation and Code, e.g., Kim & Kim 2016

Inconsistency Detection for requirements, e.g., Fantechi & Spinicci 2005, Kamalrudin et al. 2010

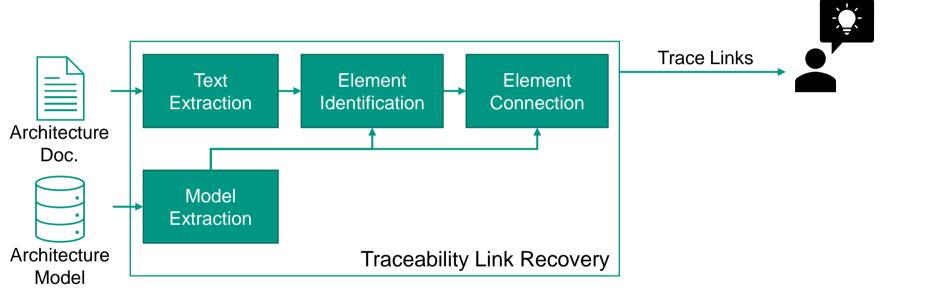
Inconsistency Detection for Software Architecture, e.g., Lytra & Zdun 2014

No work looking at inconsistencies between natural language software architecture documentations (NLSADs) and software architecture models (SAMs)



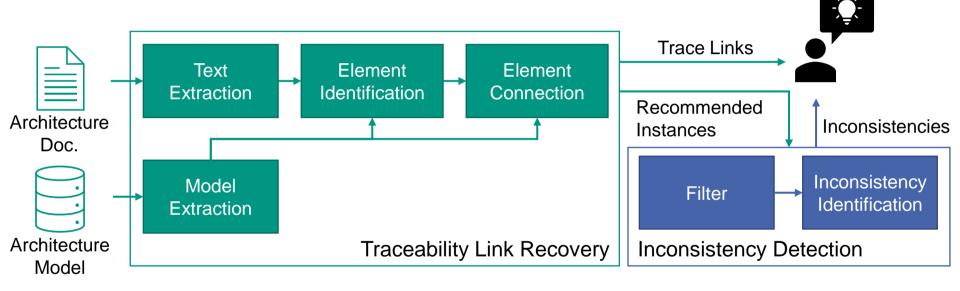
[Keim2021]

### **Background: SWATTR**



### **Our Approach**

#### ArDoCo (Architecture Documentation Consistency)





### **Detecting Unmentioned Model Elements**



Look for absent trace links for model elements (e.g., components)
Each model element needs to have at least one trace link

- Configuration options to adjust to needs
  - Minimum number of needed trace links
  - Types of model elements that are checked (e.g., components, interfaces)
  - Regex-based whitelist

### **Detecting Missing Model Elements**



Make use of Recommended Instances (RIs) of SWATTR
→ RIs without a trace link are (potential) inconsistencies

Problem: SWATTR detects many RIs to increase recall for TLR

- Therefore, filtering RIs based on
  - (dynamic) threshold regarding overall confidence
  - confidence for name and type of the RI
  - Number of occurrences
  - Unwanted words: general and project/domain-specific blacklists



### **Evaluation Projects**

Project	Language (kLOC)		Forks	Contributors	
MediaStore (MS)	Java	4	-	-	
TeaStore (TS)	Java	12	0.1k	~ 15	
TEAMMATES (TM)	Java	91	2.6k	~ 500	
	TypeScript	54	2.00		
BigBlueButton (BBB)	JavaScript	69		~ 180	
	JSX	47	5.8k		
	Scala	22	<b>J.</b> OK	~ 100	
	Java	21			
JabRef (JR)	Java	157	2.0k	~ 490	

#### Current and historic versions of documentation used

1329.02.2024Keim et al. - Detecting Inconsistencies in Software Architecture<br/>Documentation Using Traceability Link Recovery

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### **Evaluation: Traceability Link Recovery**

RQ1: To what extent do changes to the previous approach SWATTR improve the performance for TLR?

### Goals

- To measure how well we can link sentences that mention a certain model element to the model elements
- To compare the results

Process

Comparison with gold standard

#### Metrics

- Precision, Recall, F1 Score
- Accuracy, Specificity
- Φ Coefficient
- Average, Weighted Average

## **Evaluation: Comparing TLR results**



Baseline Approach

- Assumption: Elements that should be linked have equal or similar naming
- Extracts n-grams for sentences and model elements (n = {1,2,3})
- Compares n-grams from text and models using normalized Levenshtein distance
- Create Trace Links if comparison shows (high) similarity

Approach	Precision*	Recall*	F1-Score*	Accuracy*
Baseline	.80	.37	.50	.89
SWATTR	.49	.63	.52	.94
ArDoCo	.81 🛩	.81 🖌	.80 🖌	.98 🖌

\* weighted Average



### **Evaluation: Inconsistency Detection - UMEs**

RQ2: How does the approach perform for detecting unmentioned model elements?

### Goal

- To measure how well we can detect unmentioned model elements
- Process
  - Comparison with gold standard

### Metrics

- Precision, Recall, F1 Score
- Accuracy, Specificity
- Φ Coefficient
- Average, Weighted Average



### **Evaluation: Inconsistency Detection - UMEs**

Project	# Elements		Precision		Recall		F1-Score		Accuracy	
MS		4		.67		1.0		.80		.88
TS	6	5	1.0	1.0	.83	1.0	.91	1.0	.91	1.0
ТМ	1		1.0		1.0		1.0		1.0	
BBB	4	1	.50	1.0	.75	1.0	.60	1.0	.73	1.0
JR	3	1	1.0	1.0	.67	1.0	.80	1.0	.83	1.0
			.86	.88	.79	1.0	.80	.93	.85	.95
w. Avg.	v. Avg.		.87		.88		.86		.90	
										listoric Current



### **Evaluation: Inconsistency Detection - MMEs**

RQ3: How well does the approach detect missing model elements?

#### Goals

- To measure how well the approach detects missing model elements
- To compare with a simple baseline
- To measure the influence of filter lists

#### Process

Remove model elements to create (artificial) inconsistencies

### Metrics

- Precision, Recall, F1 Score
- Accuracy, Specificity
- Φ Coefficient
- Average, Weighted Average

### **Evaluation: Inconsistency Detection - MMEs**



Project	Precision		Recall		F1-Score		Accuracy	
MS		.21		.79		.33		.70
TS	.16	.96	.98	.70	.28	.79	.38	.96
ТМ	.17	.18	.63	.76	.26	.28	.86	.85
BBB	.09	.89	.18	.46	.11	.43	.81	.96
JR	.22	1.0	.11	.44	.15	.44	.57	.85
w. Avg.	.14	.60	.47	.63	.19	.43	.71	.87
	.39		.64		.34		.77	
								Historic

Current

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### Discussion



- Good results, some outliers
- Outliers when text and model diverge too much  $\rightarrow$  Low precision
- Threats to Validity
  - Few open-source cases, unclear how well this generalizes
  - Artificial inconsistencies introduced when evaluating MME-detection
  - Benchmark dataset with potentially biased gold standards

#### 22 29.02.2024 Keim et al. - Detecting Inconsistencies in Software Architecture Documentation Using Traceability Link Recovery

### Conclusion

- We investigated automatic detection of inconsistencies in software architecture documentation using trace links
- We improved the approach for TLR and proposed an approach to identify missing model elements and unmentioned model elements
- We evaluated using five projects
  - TLR: F1-Score 0.81, Accuracy 0.98
  - ID UMEs: F1-Score 0.89, Accuracy 0.93
  - ID MMEs: F1-Score 0.39, Accuracy 0.77
  - Outperforming baselines
- Needed Improvements & Future Work
  - Make use of relations and check their consistency
  - Experiment with deep learning/language models





Resources at ardoco.de/c/se24 KASTEL – Institute of Information Security and Dependability

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